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## CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

on 10-6-04

Signature -

In Re Application of:

Norman H. Garrett III

Confirmation No.: 3533

Group Art Unit: 3745

Serial No.: 10/672,509

Examiner: To Be Assigned

Filed: September 26, 2003

Docket No.: 190708-1010

For: **Roto-Dynamic Fluidic Systems**

The following is a list of documents enclosed:

Return Postcard  
Information Disclosure Statement (2 pages)  
Form PTO-1449 (3 pages)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Norman H. Garrett III

Serial No.: 10/672,509

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For: Roto-Dynamic Fluidic Systems



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Docket No.: 190708-1010

INFORMATION DISCLOSURE STATEMENT

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Enclosed is a check in the amount of \$

Enclosed is Credit Card Payment Form (PTO-2038) in the amount of \$

Please charge \$0.00 to deposit account 20-0778. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies (where required) of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

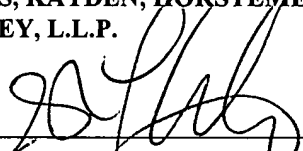
This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

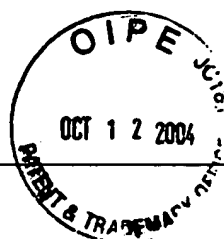
Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
\_\_\_\_\_  
**Jeffrey R. Kuester, Reg. No. 34,367**

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948  
770-933-9500



Form PTO-1449

Attorney Docket No.  
**190708-1010**Serial No.  
**10/672,509****INFORMATION DISCLOSURE CITATION**Applicant  
**Norman H. Garrett III***(Use several sheets if necessary)*Filing Date  
**09/26/2003**Group  
**3745****U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	1,758,207	05/13/1930	Walker	-----	-----	
	B	1,883,868	10/25/1932	Beckeman	-----	-----	
	C	2,276,404	03/17/1942	Lundquist	230	134	
	D	2,316,522	04/13/1943	Loeffler	122	11	
	E	2,991,764	07/11/1961	French	122	26	
	F	3,063,673	11/13/1962	Johnson	253	55	
	G	3,242,908	03/29/1966	Kopczynski	122	11	
	H	3,508,402	04/28/1970	Gray	60	108	
	I	3,647,314	03/07/1972	Laessig	415	206	
	J	3,690,302	09/12/1972	Rennolds	122	11	
	K	3,720,372	03/13/1973	Jacobs	237	12.3	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	L							
	M							
	N							
	O							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	P	
	Q	
	R	

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

Form PTO-1449

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190708-1010Serial No.  
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09/26/2003Group  
3745**U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	S	3,791,349	02/12/1974	Schaefer	122	11	
	T	3,933,007	01/20/1976	Eskeli	62	401	
	U	3,937,034	02/10/1976	Eskeli	62	401	
	V	4,003,672	01/18/1977	Gamell	415	71	
	W	4,277,020	07/07/1981	Grenier	237	1	
	X	4,381,762	05/03/1983	Ernst	126	247	
	Y	4,424,797	01/10/1984	Perkins	126	247	
	Z	4,480,592	11/06/1984	Gökçen	122	26	
	AA	4,483,277	11/20/1984	Perkins	122	26	
	AB	4,501,231	02/26/1985	Perkins	122	26	
	AC	4,651,681	03/24/1987	Perkins	122	26	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AD							
	AE							
	AF							
	AG							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	AH	
	AI	
	AJ	

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Attorney Docket No.  
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10/672,509**INFORMATION DISCLOSURE CITATION**Applicant  
Norman H. Garrett III*(Use several sheets if necessary)*Filing Date  
09/25/2003Group  
3745**U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AK	4,664,068	05/12/1987	Kretchmar, et al.	122	26	
	AL	4,779,575	10/25/1988	Perkins	122	26	
	AM	4,781,151	11/01/1988	Wolpert Jr., et al.	122	26	
	AN	4,798,176	01/17/1989	Perkins	122	26	
	AO	5,188,090	02/23/1993	Griggs	126	247	
	AP	5,261,783	11/16/1993	Noyes	415	71	
	AQ	5,341,768	08/30/1994	Pope	122	26	
	AR	5,385,298	01/31/1995	Griggs	237	1	
	AS	5,810,052	09/22/1998	Kozyuk	138	37	
	AT	5,914,027	06/22/1999	Ellingsen	208	126	
	AU	5,957,122	09/28/1999	Griggs	126	247	
	AV	2002/0077373	06/20/2002	Hudson, et al.	516	98	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AW							
	AX							
	AY							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	AZ	
	BA	
	BB	

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